

Cep 1647

**PATENT** 

(Amendment Transmittal—page 1 of 4) 9-19

### & Industrial Industrial No. U 013223-9

| IN | THE | UNITED | STATES | PATENT | AND TRADE | MARK OFFICE |
|----|-----|--------|--------|--------|-----------|-------------|
|    |     |        |        |        |           |             |

|          |                    | IN THE UNITED STATES  | PATENT AND TH         | RADEN    | MARK OFFICE            | 2                                     |  |  |
|----------|--------------------|---|-----------------------|----------|------------------------|---------------------------------------|--|--|
| In re    | applica            | tion of Bennett, et al.   | ,                     |          |                        |                                       |  |  |
| Seria    | l No.:             | 09/771,956  | Group                 | No.:     | 1647                   |                                       |  |  |
| Filed    | l <b>:</b>         | January 29, 2001  | Exami                 | ner:     | Wegert, S. L.          |                                       |  |  |
| For:     |                    | CHIMERIC NEUROPEPTIE  | DE Y RECEPTORS        | <b>;</b> |                        |                                       |  |  |
|          |                    | ommissioner for Patents<br>a, D.C. 20231  |                       |          |                        | RECEIVED                              |  |  |
|          |                    | AMENDM  | ENT TRANSMIT          | TAL      |                        | JAN 0 2 2003<br>TECH CENTER 1600/2900 |  |  |
| 1.       | Transi             | mitted herewith is an amendme   | ent for this applicat | ion.     |                        | TECH CENTER 1600/2900                 |  |  |
|          |                    |   | STATUS                |          |                        |                                       |  |  |
| 2.       | Applid □           | a small entity. A statement:  is attached.  was already filed. other than a small entity.   | :                     |          |                        |                                       |  |  |
|          |                    | CERTIFICATE OF MAIL   |                       | N (37 C. | F.R. 1.8(a))           | <del></del>                           |  |  |
| I hereby | certify th         | hat, on the date shown below, this cor  | respondence is being: |          |                        |                                       |  |  |
|          |                    | MAILING   |                       |          | FACSIMILE              |                                       |  |  |
|          | with su<br>envelop | red with the United States Postal Serving officient postage as first class mail in a pe addressed to the Assistant issioner for Patents, Washington, D.C. | ın                    | Traden   | nitted by facsimile to | the Patent and                        |  |  |
| Date: ]  | <u>Decemb</u>      | per 26, 2002  | <u>John</u>           | Richard  | ds                     | ······                                |  |  |

# EXTENSION OF TERM

| NOIE.    | after a N  | after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period. |   |   |                                   |   |                                   |                                    |  |
|----------|--|--|---|---|-----------------------------------|---|-----------------------------------|------------------------------------|--|
|          | entry of<br>statutory<br>Notice of   | a Notice<br>y period i<br>of Appeal  | re has been filed after<br>of Appeal or filing<br>unless the timely-filed<br>has been filed withi<br>85 (1061 O.G. 34-35) | and/or entry<br>d response pla<br>n the shorten | of an additione<br>ced the applic | al amendment afte<br>ation in condition | er expiration o<br>for allowance. | f the shortened<br>Of course, if a |  |
| NOTE:    | See 37 C.F.R. 1.645 for extensions of time in interference proceedings, and 37 C.F.R. 1.550(c) for extensions of time in reexamination proceedings.  |  |   |   |                                   |   |                                   |                                    |  |
| 3.       | The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.   |  |   |   |                                   |   |                                   | 1.136 apply.                       |  |
|          |  |  | (comp   | lete (a) or (                                   | Ъ), as appli                      | cable)                                  |                                   |                                    |  |
|          | (a)  |  | Applicant petit<br>(fees: 37 C.F.R  |   |                                   |   |                                   |                                    |  |
|          |  | Extens   | sion  | Fee for other than                              |                                   |   | Fee for                           |                                    |  |
|          |  | (montl   | <u>ths)</u>   |   | nall entity                       |   | small entity                      |                                    |  |
|          |  | one m  | onth  | \$  | 110.00                            |   | \$ 55.0                           | 0                                  |  |
|          |  | two m  | onths   | \$  | 400.00                            |   | \$ 200.0                          | 0                                  |  |
|          |  | three r  | nonths  | \$  | 920.00                            |   | \$ 460.0                          | 0                                  |  |
|          |  | four m   | onths   | \$  | 1,440.00                          |   | \$ 720.0                          | 0                                  |  |
|          |  |  |   |   | Fee:                              | \$                                      | · ·                               |                                    |  |
| If an ac | dditiona   | extens   | on of time is req   | uired, pleas                                    | e consider t                      | his a petition tl                       | herefor.                          |                                    |  |
|          |  |  | (check and c  | omplete the                                     | next item, i                      | f applicable)                           |                                   |                                    |  |
|          | An extension for months has already been secured. The fee paid therefor of \$ is deducted from the total fee due for the total months of extension now requested.  |  |   |   |                                   |   |                                   |                                    |  |
|          |  |  | Extension fee d   | lue with thi                                    | s request                         | \$                                      |                                   |                                    |  |
| •        |  |  |   | o   | R                                 |   |                                   |                                    |  |
|          | (b) Applicant believes that no extension of term is required. However, this is conditional petition being made to provide for the possibility that applicant h inadvertently overlooked the need for a petition for extension of time. |  |   |   |                                   | applicant has                           |                                   |                                    |  |

## FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

|  | (        | Col. 1)  | (Col. 2)                              | (Col. 3)         |             | ALL<br>TTY    |    | OTHER THA<br>SMALL ENT |               |
|--|----------|--|---------------------------------------|------------------|-------------|---------------|----|------------------------|---------------|
|  | Re       | Claims<br>emaining<br>After<br>nendment  | Highest No.<br>Previously<br>Paid For | Present<br>Extra | Rate        | Addit.<br>Fee | OR | Rate                   | Addit.<br>Fee |
| Total  | *        | Minus  | **                                    | =                | x \$ 9=     | \$            |    | x \$ 18=               | \$            |
| Indep  | . *      | Minus  | ***                                   | =                | x \$ 42=    | \$            |    | x \$ 84=               | \$            |
| □Firs  | t Preser | Presentation of Multiple Dependent   |                                       | lent Claims      | + \$140=    | \$            |    | + \$280=               | \$            |
|  |          |  |                                       | To<br>Addi       |             | \$            | OR | Total<br>Addit. Fee    | \$            |
| <ul> <li>* If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3,</li> <li>** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".</li> <li>*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.</li> <li>**WARNING: "After final rejection or action (§ 1.113) amendments may be made canceling claims or complying with any</li> </ul> |          |  |                                       |                  |             |               |    |                        |               |
|  |          | requirement of form which has been made." 37 C.F.R. 1.116(a) (emphasis added). |                                       |                  |             |               |    |                        |               |
| (complete (c) or (d), as applicable)   |          |  |                                       |                  |             |               |    |                        |               |
|  | (c)      | ⊠ N  | lo additional fee                     | for claims       | is required |               |    |                        |               |
|  | OR       |  |                                       |                  |             |               |    |                        |               |
|  | (d)      | □ T  | otal additional                       | fee for claim    | s required  | \$            |    |                        |               |
|  |          |  |                                       | FEE PAYN         | MENT        |               |    |                        |               |
| 5.   |          | Attached   | is a check in the                     | e sum of \$ _    |             |               |    |                        |               |

Charge Account No. <u>12-0425</u> the sum of \$ \_\_\_\_\_ A duplicate of this transmittal is attached.

### FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

 $\boxtimes$ 6. If any additional extension and/or fee is required, charge Account No. 12-0425.

#### AND/OR

 $\boxtimes$ If any additional fee for claims is required, charge Account No. 12-0425 SIGNATURE OF PRACTITIONER Reg. No. 31053 John Richards (type or print name of practitioner) Tel. No. (212) 708-1915 P.O. Address Customer No. c/o Ladas & Parry 26 West 61 Street New York, N.Y. 10023



**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Bennett et al.

SERIAL NO.: 09/771,956

**GROUP NO.: 1647** 

FILED: January 29, 2001

EXAMINER: Wegert, S. L.

FOR: CHIMERIC NEUROPEPTIDE Y RECEPTORS

Attorney Docket No.: U 013223-9

RECEIVED

JAN 0 2 2003

Assistant Commissioner for Patents Washington, D.C. 20231

**TECH CENTER 1600/2900** 

# RESPONSE TO THE OFFICIAL ACTION OF

## **NOVEMBER 26, 2002**

Reconsideration and further examination is respectfully requested in view of the following remarks.

### CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

#### **MAILING**

|        | deposited with the United States Postal Service i | n an envelope add | dressed to the Assistant Commissioner for |
|--------|---|-------------------|---|
|        | Patents, Washington, D.C. 20231.                  |                   |   |
|        | 37 C.F.R. 1.8(a)                                  |                   | 37 C.F.R. 1.10*                           |
| Ø      | with sufficient postage as first class mail.      |                   | as "Express Mail Post Office to           |
|        |   |                   | Addressee"                                |
|        |   |                   | Mailing Label No                          |
|        |   |                   | (mandatory)                               |
|        | TRANS   | MISSION           |   |
|        | transmitted by facsimile to the Patent and Trader | mark Office.      |   |
| Date:_ | December 26, 2002                                 | Signa             | rare /                                    |
|        |   | <u>John</u>       | Richards                                  |
|        |   | (type o           | r print name of person certifying)        |

\*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.